

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE				ATTY. DOCKET NO.: 60117.000004		SERIAL NO.: 09/938,189	
LIST OF MATERIALS CITED BY APPLICANT (Use several sheets if necessary)				INVENTOR'S NAME: Jens Petersen		EXAMINER: C. Azpuru	
				FILING DATE: August 27, 2001		GROUP: 1615	

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
AR	1.	3867329	02/1975	Halpern et al.			
	2.	3948862	04/06/76	Iwasyk			
	3.	4535131	08/13/85	Handa et al.			
	4.	4713434	12/15/87	Sutterlin et al.			
	5.	5306404	04/26/94	Notsu et al.			
	6.	5798096	08/25/98	Pavlyk			
	7.	6252016	06/26/01	Wu et al.			
	8.	6277948	08/21/01	Zahr			
	9.	6335028	01/2002	Vogel et al.			

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION Yes No	
AR	10.	1317408	05/16/73	GB			X	
	<del>11.</del>	<del>0153672 B1</del>	<del>09/04/85</del>	<del>EP</del>				<del>X</del>
	12.	1608193 (Abstract)	11/23/90	SU			X (Abstract)	
	13.	1687291 (Abstract)	10/30/91	SU			X (Abstract)	
	14.	0496067 A2	07/29/92	EP			X	
	15.	055119 A1	08/11/93	EP			X	
	16.	96/04943 (Abstract)	02/22/96	WO			X (Abstract)	
	17.	00/31148	06/02/00	WO			X	
	18.	01/38402	05/31/01	WO			X	
19.	01/42312 A1	06/14/01	WO			X (Abstract)		

EXAMINER: *C. Azpuru* DATE CONSIDERED: 2/20/04

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Application Number	09/938,669
Filing Date	August 27, 2001
First Named Inventor	J ns PETERSEN
Art Unit	1615
Examiner Name	C. Azpuru
Attorney Docket Number	60117.000004

Sheet 2 of 2

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
JA		10/227,265	08/26/2002	Richard Schmidt, et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Cite No.	FOREIGN PATENT DOCUMENT		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	TRANSLATION	
		Country Code:	Number - Kind Code (if known)				YES	NO
JA	1	CN	CN1228447	09-15-1999	Cao MENGJUN		X	
	2	RU	Lic. Reg. No. 94	05-20-1994	Russion Fed, Irkutsk Region, License to Medical Insurance of Citizens in the RSFSR Act		X	
	3	RU	SU 1697756 A1	06-20-1988	Description of Invention; A Method for Treating the Larynx Diseases Involving Persistent Non- Junction of Vocal Folds		X	

**OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	TRANSLATION	
			YES	NO
JA	4	N.A. Peppas, 1986, <i>Hydrogels in Medicine and Pharmacy</i> , CRC Press, Vol. I, pp. 2-6; 96-97	<input type="checkbox"/>	<input type="checkbox"/>

EXAMINER SIGNATURE

DATE CONSIDERED

2/20/04

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.